

Performance Measurement Using SLB indicators

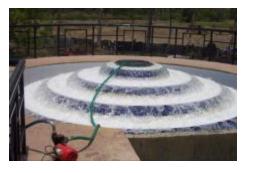
Workshop on Capacity Building for Service Level Benchmarking (SLB)

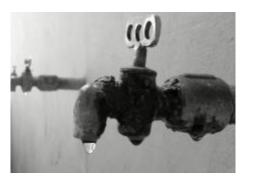
6th February, 2014, Goa

Service Level Benchmarking initiative

- Handbook on Service Level Benchmark is developed by MoUD, which includes
 - Minimum set of **standard performance parameters** for the water and sanitation sector that are commonly understood and used by all stakeholders across the country
 - Define common minimum framework for **monitoring** and **reporting** on these indicators
 - Set out **guidelines** on how to operationalised this framework in a phased manner
- □ This framework comprises of 28 SLB indicators

WATER SUPPLY





Indicators for Water supply services	Benchmark
Coverage of water supply connections	100%
Per capita supply of water	135 lpcd
Extent of metering of water connections	100%
Extent of Non- Revenue Water (NRW)	20%
Continuity of water supply	24 hours
Quality of water supplied	100%
Efficiency in redressal of customer complains	80%
Cost recovery in water supply services	100%
Efficiency in collection of water supply related charges	90%

WASTEWATER





Indicators for Wastewater services	Benchmark
Coverage of toilets	100%
Coverage of sewage network services	100%
Collection efficiency of the sewage network	100%
Adequacy of sewage treatment capacity	100%
Quality of sewage treatment	100%
Extent of reuse and recycling of sewage	20%
Efficiency in redressal of customer complains	80%
Extent of cost recovery in sewage management	100%
Efficiency in collection of sewage charges	90%

SOLID WASTE

8





Indicators for Solid Waste Management services	Benchmark
Household level coverage of solid waste management services	100%
Efficiency of collection of municipal solid waste	100%
Extent of segregation of municipal solid waste	100%
Extent of municipal solid waste recovered	80%
Extent of scientific disposal of municipal solid waste	100%
Efficiency in redressal of customer complains	80%
Extent of cost recovery in SWM services	100%
Efficiency in collection of SWM charges	90%

STORM WATER

2

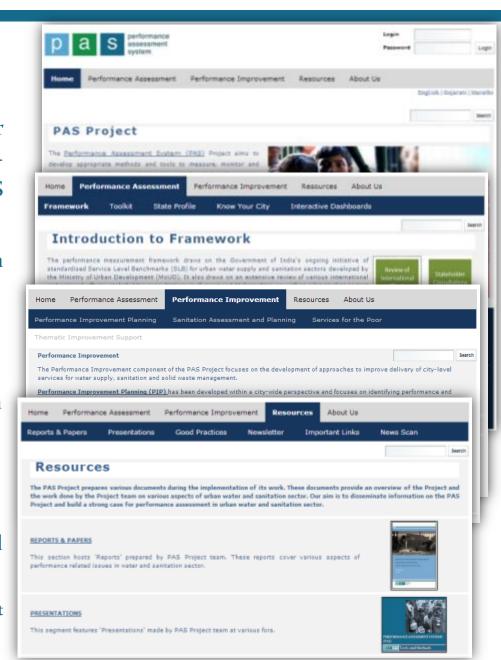
Indicators for Storm Water Drainage	Benchmark
Coverage of storm water drainage network	100%
Incidence of water logging / flooding	0

Online SLB module

Online platform to collect, review and share information related to performance assessment for UWSS sector in various states.

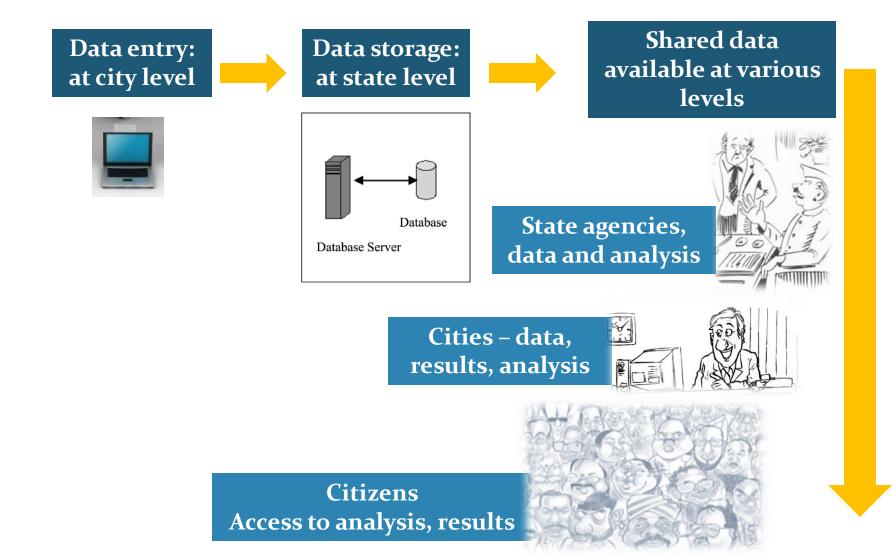
Introduction to online module

- Online measurement and monitoring through web portal, <u>www.pas.org.in</u>
- Web portal a repository of five year
 data for 419 cities in the two states –
 One of largest data base on UWSS sector
- Online data entry modules for both performance indicators and targets
- Online monitoring at city, district and state levels
- On hand information available through customized reports in Excel and PDF
- Graphical and tabular analysis at both state and city levels
- Range of outputs and essential information related to benchmarking
 Sector Reports, Good Practices, Improvement Initiatives, etc

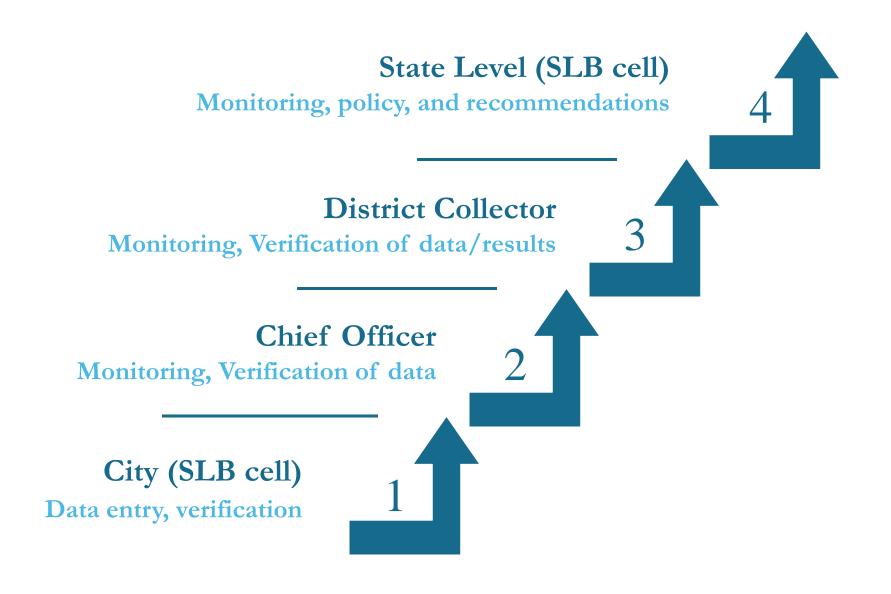


Process of Measurement

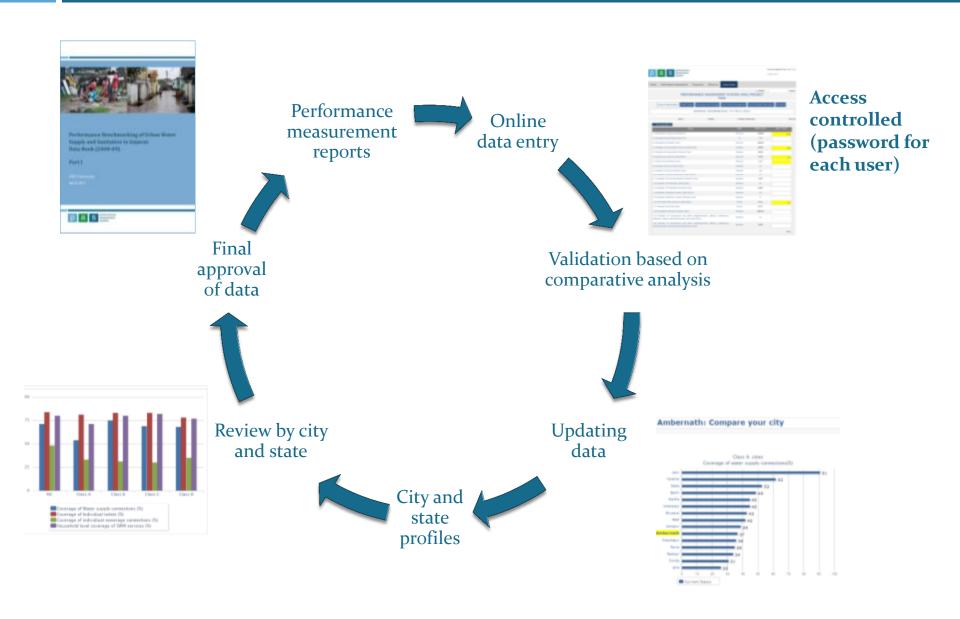
Web based measurement and monitoring



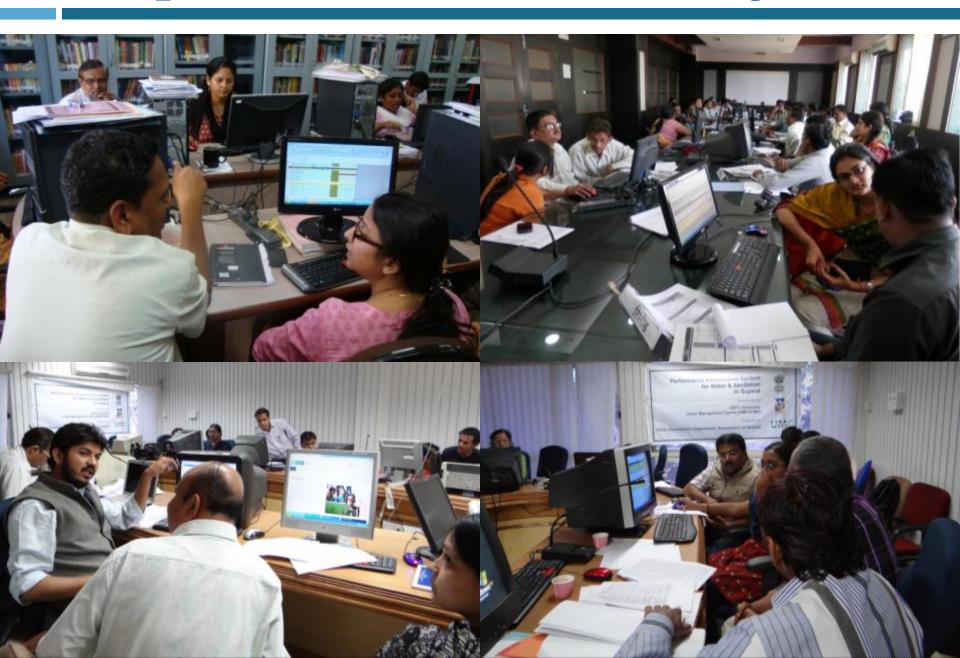
Planned online system for review



Stages in Performance Measurement and Monitoring



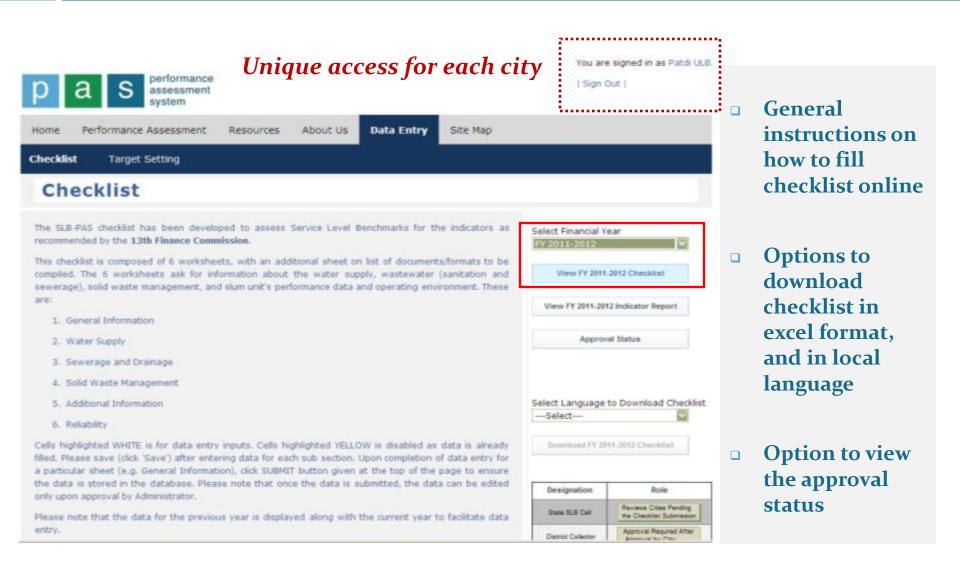
Snapshots of Online data entry



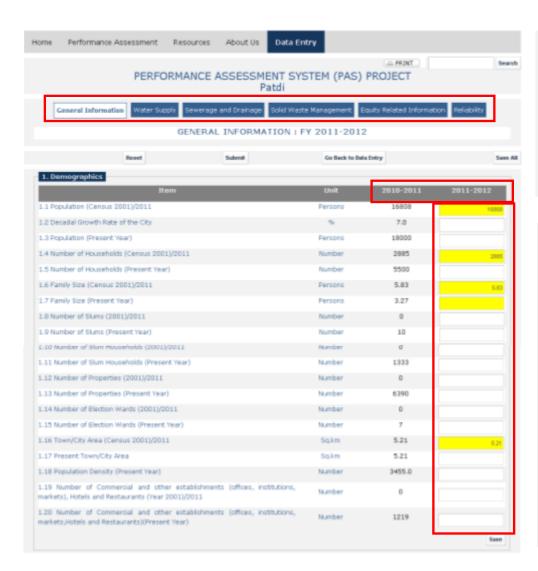
Tools for Measurement

- Checklist
- Target Setting
- Reports

Online data entry for SLB



Online data entry for SLB





- Previous year's data displayed alongside current year of data entry
- Options to save each sub section within a sheet; useful in case of connectivity issues during data entry

Reliability assessment for Coverage of Water supply connections

Reliability A

Question	Options	Y/N
	1.Through household surveys (1-5 yrs)	Y
What is the basis of estimation of HHs served with individual	2. Number of residential connections	
water supply connections?	3. Area covered by distribution network	
	4. Road covered by network length	
How are records of HHs served	1. Computerized	Y
by water supply maintained?	2. Only Manual	

- Automatic calculate the reliability for an indicator with a set of questions that address the conditions in each reliability band as listed in the Handbook.
- ☐ Ensures a transparent and consistent comparison across all ULBs.
- Where appropriate, state-wide information system improvement efforts will also be supported

Reliability assessment for Coverage of Water supply connections

Reliability B

Question	Options	Y/N
 	1.Through household surveys (1-5 yrs)	
What is the basis of estimation of HHs served with individual	2. Number of residential connections	Y
	3. Area covered by distribution network	į
	4. Road covered by network length	
How are records of HHs served	1. Computerized	
by water supply maintained?	2. Only Manual	Y

- Automatic calculate the reliability for an indicator with a set of questions that address the conditions in each reliability band as listed in the Handbook.
- ☐ Ensures a transparent and consistent comparison across all ULBs.
- Where appropriate, state-wide information system improvement efforts will also be supported

Reliability assessment for Coverage of Water supply connections

Reliability C

Question	Options	Y/N
 	1.Through household surveys (1-5 yrs)	
What is the basis of estimation of HHs served with individual	2. Number of residential connections	
water supply connections?	3. Area covered by distribution network	Y
 	4. Road covered by network length	İ
How are records of HHs served	1. Computerized	
by water supply maintained?	2. Only Manual	

- Automatic calculate the reliability for an indicator with a set of questions that address the conditions in each reliability band as listed in the Handbook.
- ☐ Ensures a transparent and consistent comparison across all ULBs.
- ☐ Where appropriate, state-wide information system improvement efforts will also be supported

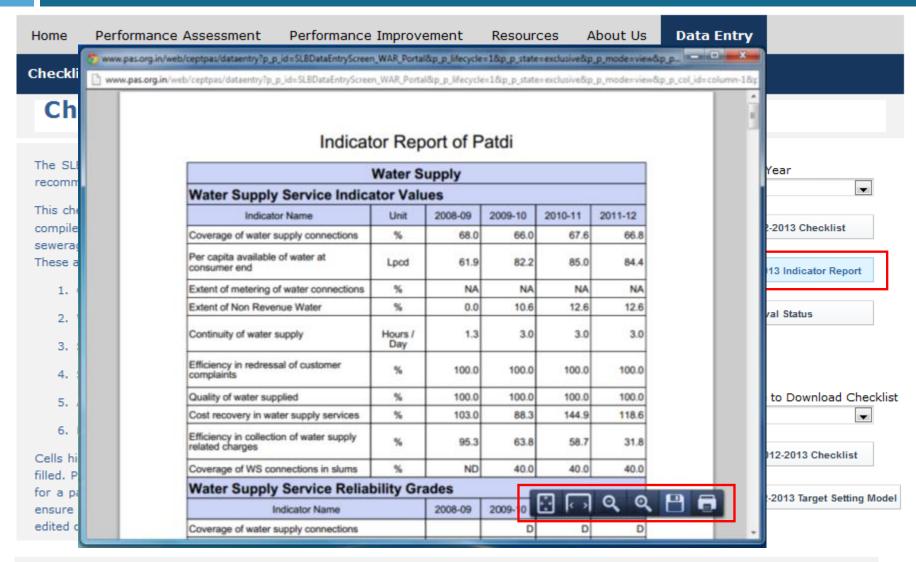
Reliability assessment for Coverage of Water supply connections

Reliability D

Question	Options	Y/N
 	1.Through household surveys (1-5 yrs)	
What is the basis of estimation of HHs served with individual	2. Number of residential connections	
water supply connections?	3. Area covered by distribution network	
	4. Road covered by network length	Y
How are records of HHs served	1. Computerized	
by water supply maintained?	2. Only Manual	

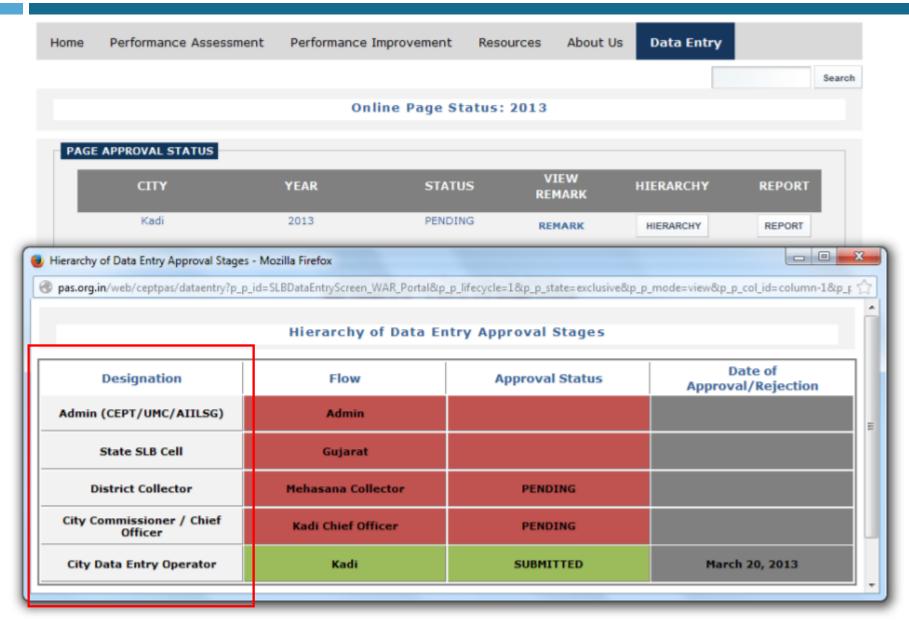
- Automatic calculate the reliability for an indicator with a set of questions that address the conditions in each reliability band as listed in the Handbook.
- ☐ Ensures a transparent and consistent comparison across all ULBs.
- Where appropriate, state-wide information system improvement efforts will also be supported

SLB Indicator Reports



- Options to view indicator report
- Option to print and save indicator report in PDF

Approval stages for online data entry



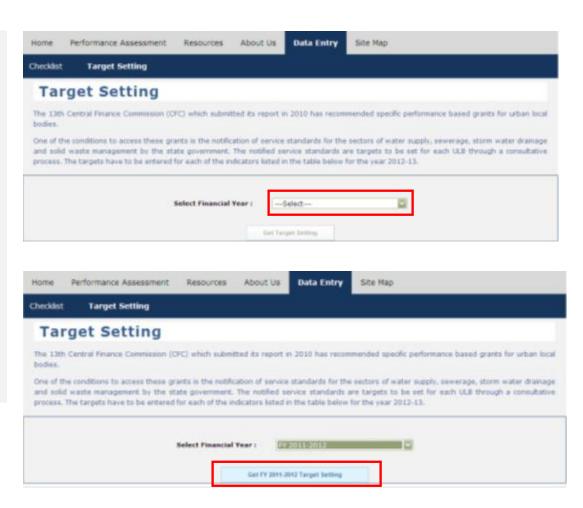
Approval stages for city

Online Entry for Targets as per 13th Finance Commission

Targets for each SLB indicators can be entered by city; compared to the service levels attained in previous years

As in **Data Entry**, these pages are access controlled

Online monitoring of the targets set by cities can be done by state cell



Online Target Entry

Home Performance Assessment

Performance Improvement

Resources About Us

Data Entry

Checklist

Target Setting

Financial Patrak

Target Setting for Kadi for FY 2013-2014

The 13th Central Finance Commission (CFC) which submitted its report in 2010 has recommended specific performance based grants for urban local bodies.

One of the conditions to access these grants is the notification of service standards for the sectors of water supply, sewerage, storm water drainage and solid waste management by the state government. The notified service standards are targets to be set for each ULB through a consultative process. The targets have to be entered for each of the indicators listed in the table below for the year 2012-13.

Water supply: KPIs	2009-2010	2010-2011	2011-2012	2012-2013	Target for 2012-2013	Target for 2013-2014
Coverage of water supply connections(%)	85.2	84.1	85.6	91.1	100.0	95.0
Per capita supply of water at consumer end(lpcd)	115.6	116.1	137.8	137.3	135.0	140.0
Extent of metering of water connections(%)	NA	NA	NA	NA	NA	NA
Extent of non-revenue water(%)	33.1	31.5	26.5	24.1	20.0	20.0
Continuity of water supply(hrs per day)	1.0	1.0	1.0	1.0	1.0	1.0
Efficiency in redressal of customer complaints(%)	70.0	70.0	83.0	85.0	85.0	90.0
Quality of water supplied(%)	100.0	100.0	98.4	84.5	100.0	90.0
Cost recovery in water supply services(%)	35.1	54.4	40.2	40.2	45.0	50.0
Efficiency in collection of water supply related charges(%)	54.5	63.4	70.2	70.2	89.0	70.0
Coverage of water supply connections in slums(%)	100.0	100.0	70.0	77.6	80.0	80.0

Wastewater: KPIs	2009-2010	2010-2011	2011-2012	2012-2013	Target for 2012-2013	Target for 2013-2014
Coverage of toilets(%)	98.7	98.7	90.8	90.4	95.0	95.0
Coverage of waste water network services(%)	27.0	26.2	26.0	50.5	50.0	80.0
Collection efficiency of waste water network(%)	3.2	3.2	4.0	NA	15.0	15.0

Previous and current year of status and previous year target for each indicator can be viewed while entering targets for the next year

ULB level target setting model

Target Model provides guidance for setting annual targets for SLB indicators – as per the 13th FC requirements. It helps to asses feasible targets base on trend analysis as well as ongoing capital works

STANDARDIS	ED 9	SERVI	CF I	FVF	I RE	NCHI	MΔR	KS	
PERFORMANCE ASSESSMENT SYSTEMS (PAS) PROJECT									
TARGET MODEL									
		IANG	ET WIOL	<u> </u>					
<u>USER GUIDE</u>			ULB TA	ARGET			GUIDELINI	<u>ES</u>	
WATER SUPPLY			WASTE WAT	ER		SOLID WASTE		SUMMARY	
CLASS: B					BASIC IN	IFORMATION	4		
CITY: Kadi		POPULATION 77,778		HOUSEHOLD 17,831)S	SLUM POPUL 12%	ATION SHARE	AREA (sq kms) 20	
WATER SUPPLY									
KPIs and action areas	Ongoing Projects	2009-10	2010-11	2011-12	2012-13	Projected	SET TA	RGET FOR 2013-14	
Ongoing distribution network expansion project									
No of HHs served	1,500								
Project cost (Rs in lakh)	4								
Coverage of individual water supply connections (%)		85	84	86	91	96	100	TARGET	
Number of households served with individual connections Additional number of households connected/ required to achieve		13,784	14,167 383	14,857 690	16,239 1.382	17,739 1,500	18,389 650		
Income generated by increasing coverage (Rs in lakh)				630	1,302	10	1,500	Per connection charges paid by citizens (Rs / connection)	
						'		Ì	
Source augmentation									
Additional water withdrawal (MLD)	2								
If source of water is bulk purchase then specify bulk water charge	4								
Project cost (Rs in lakh)	4								
Per capita supply at consumer end (lpcd)		116	116	138	137	151	150	TARGET	
Water production requirement (MLD)		13.0	13.0	14.8	14.8	16.8	15.8		
Residential water consumption including public taps		8.3	8.5	10.4	10.7	12.1	12.0		
Additional water augmentation done/ required to achieve target			0.0	1.8	0.0	2.0	0.0		

Data improvement measures

Information system improvement initiative

- Linking SLB online data collection with existing functional EDP /e-governance departments in cities
- System to periodically record required information and generate SLB indicators (at city/zonal and ward level)
- Currently being attempted in 2 cities Vadodara municipal corporation and Kalyan-Dombivali Municipal corporation









S performand assessment system



Memorandum of Cooperation

Between the Vadodara Municipal Corporation (VMC) and

Urban Management Centre (UMC) under the Performance Assessment System (PAS) project

Date: 20 July 201 L

This declaration outlines the terms of the proposed institutional relationship between the Vadodara Municipal Corporation (VMC) and the Urban Management Centric (UMC) under the ongoing Performance Assessment System Program (PAS) being undertaken by the CEPT University and UMC with support from Government of Gujarat. The objective of this understanding is to bring synergies between the organization is to consolidate the PAS program. The PAS program is an action research supported by CEPT University and facilitated by Government of Guiarat.

Urban Management Centre (UMC) is a not for profit organization working with a mission to foster excellence in governance through innovative urban management solutions across South Asia and which continues to be the facilitating force for this cooperation.

The objectives of this agreement are:

- To provide technical assistance towards improvement in water supply, sewerage and storm water drainage sectors of the VMC in specific areas:
 Study and facilitate preparation of Information System Improvement Plan (ISIP) for improving reliability band of
- Service Level Benchmark (SLB) indicators in above mentioned sectors.

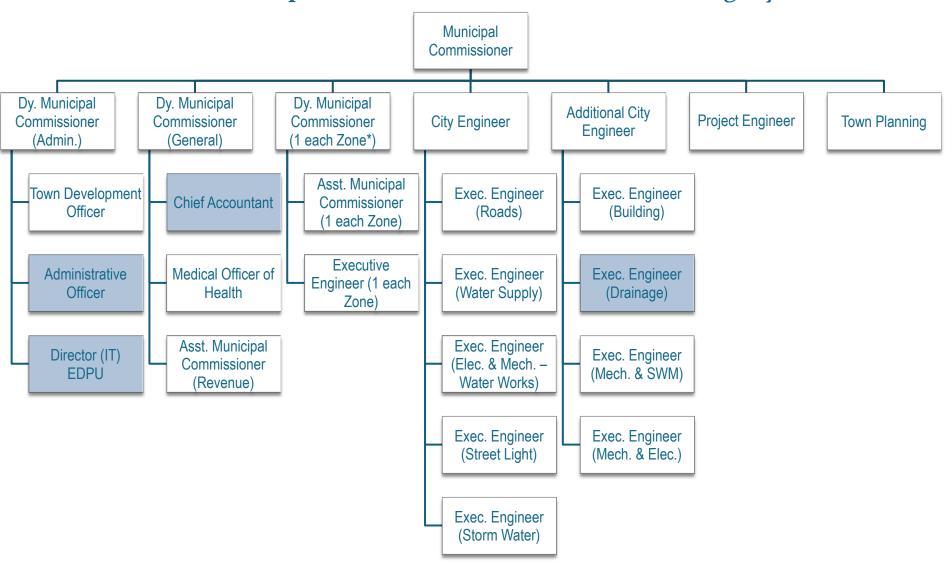
 2. To provide training and capacity building support, organize study tours and support exchange of ideas towards the above said shipship and capacity building.

Vadodara Municipal Corporation (VMC) agrees to the following:

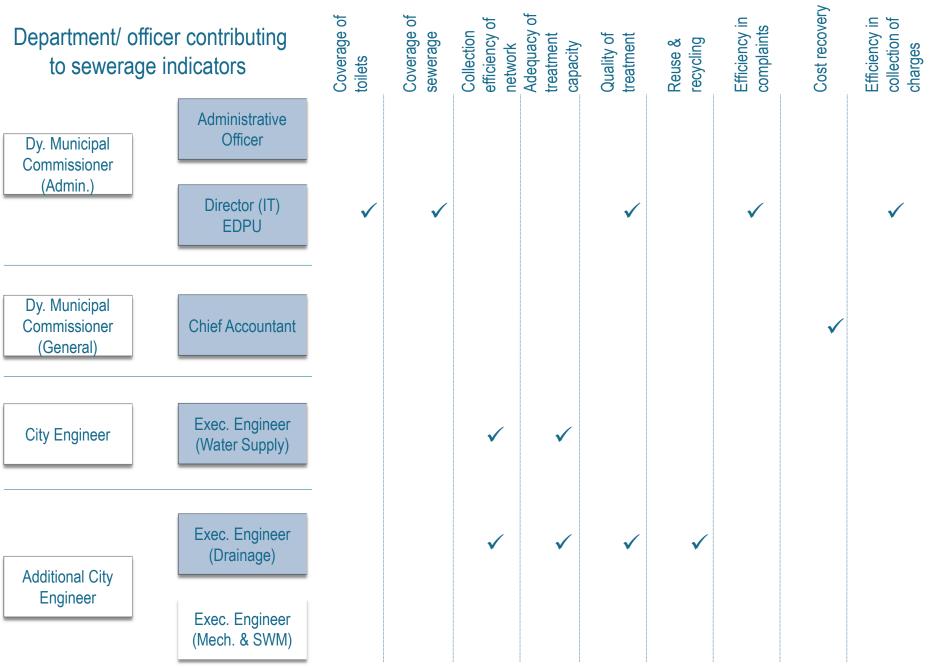
- · Provide support by sharing data/ information and required staff time.
- VMC agrees to nominate department-wise nodal officers for coordination and an overall coordinator to provide support to UMC.
- . Hire IT organization when required to scale up operations or create intranet solutions.
- Mrie it organization when required to scale up operations or create intranet solutions.
 VMC would resolve to take up implementation of the recommendations provided under this user.
- Establish SLB Cell and engage PAS data for yearly SLB target setting and planning service delivery.

ISIP Initiative - Departments, Staff and Data Sources

MAPPING Relevant Department/officers for Vadodara Sewerage system SLB Data



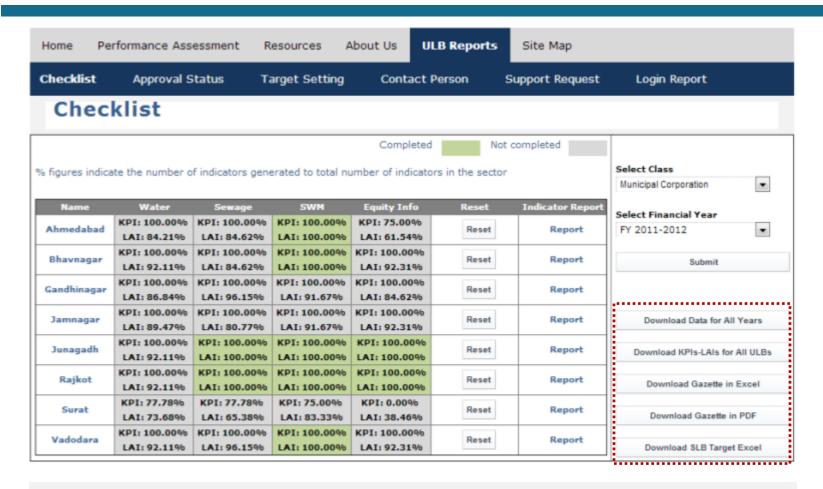
MAPPING SLB Data & Indicators Monitoring within various Departments of VMC



Monitoring under SLB cell

- State Profile
- Know Your City

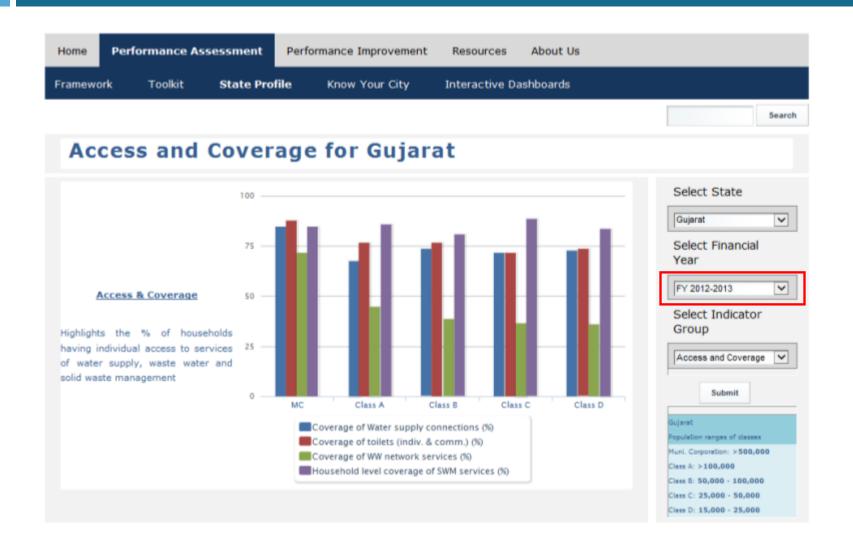
State level monitoring



Options to download

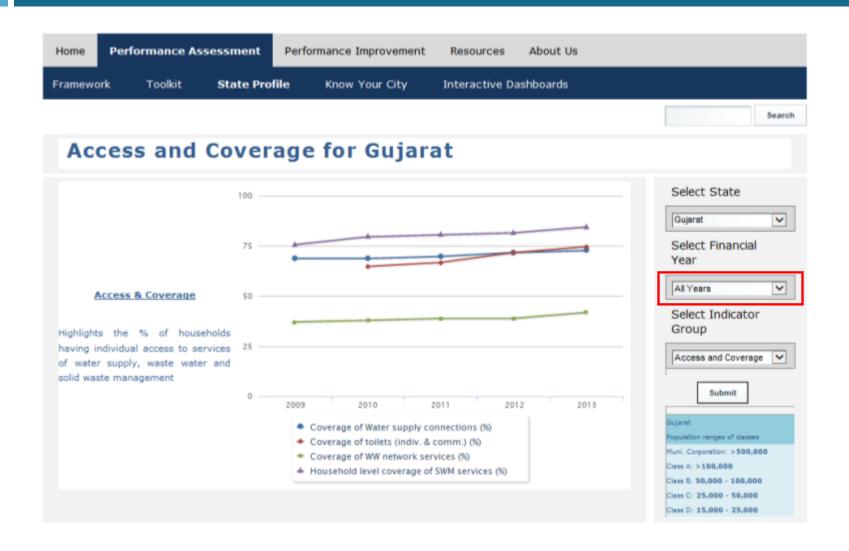
- Data for all years
- Indicators for all years
- Targets in gazette format, excel/ PDF

State Profile based on SLB Indicators



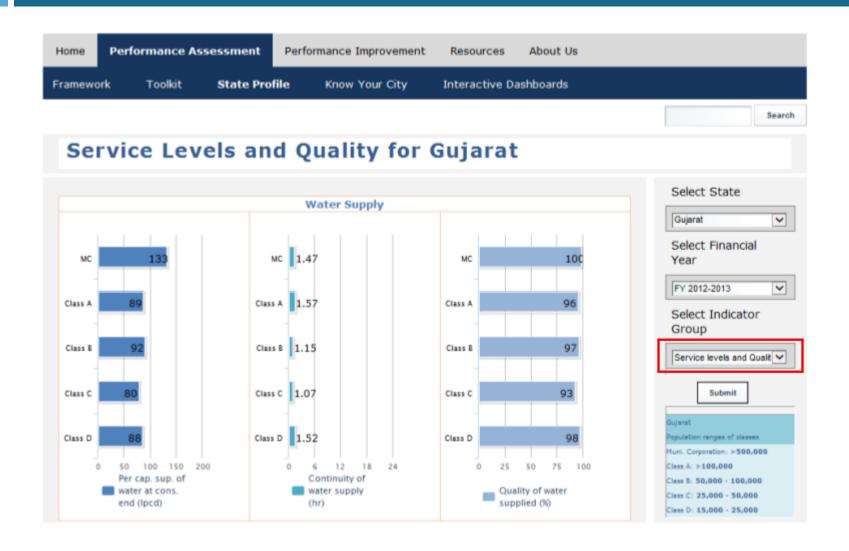
Option to view **year wise** information

State Profile based on SLB Indicators



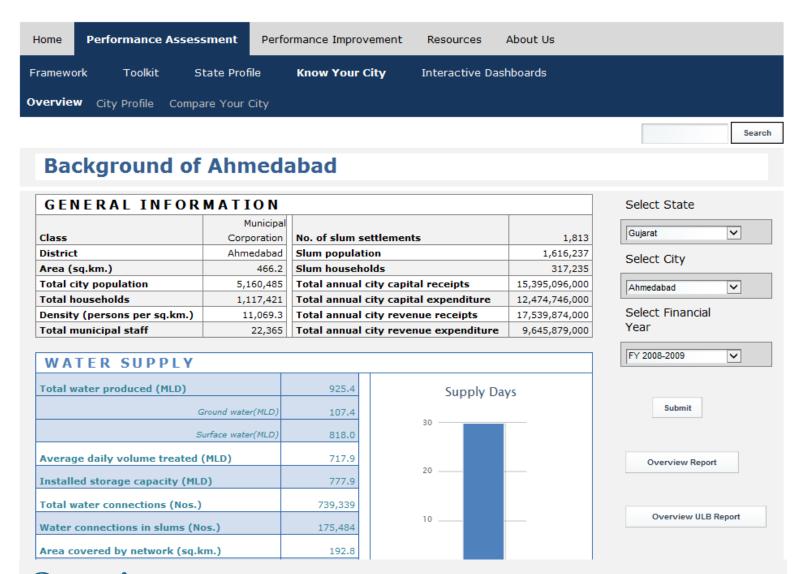
Option to track **historical data**

State Profile based on SLB Indicators



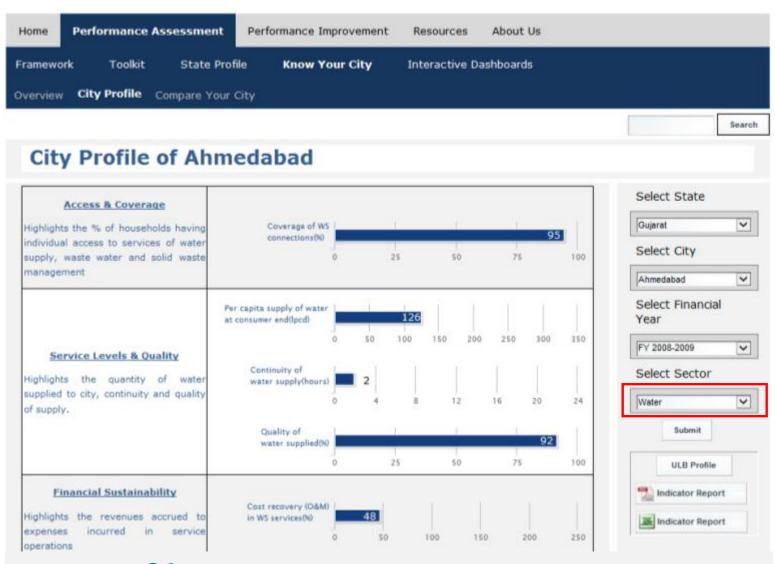
Option to view **Thematic** State profile

City Profile



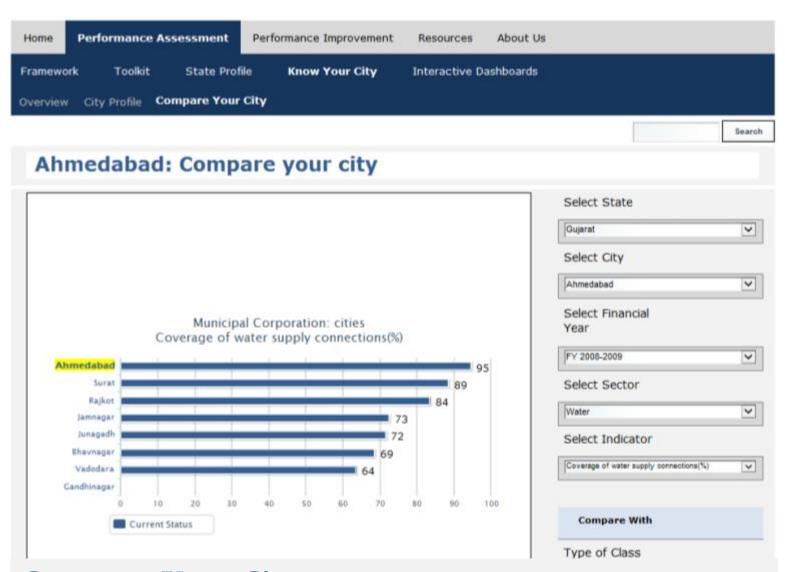
Overview of the city provides general information across all the sectors

City Profile

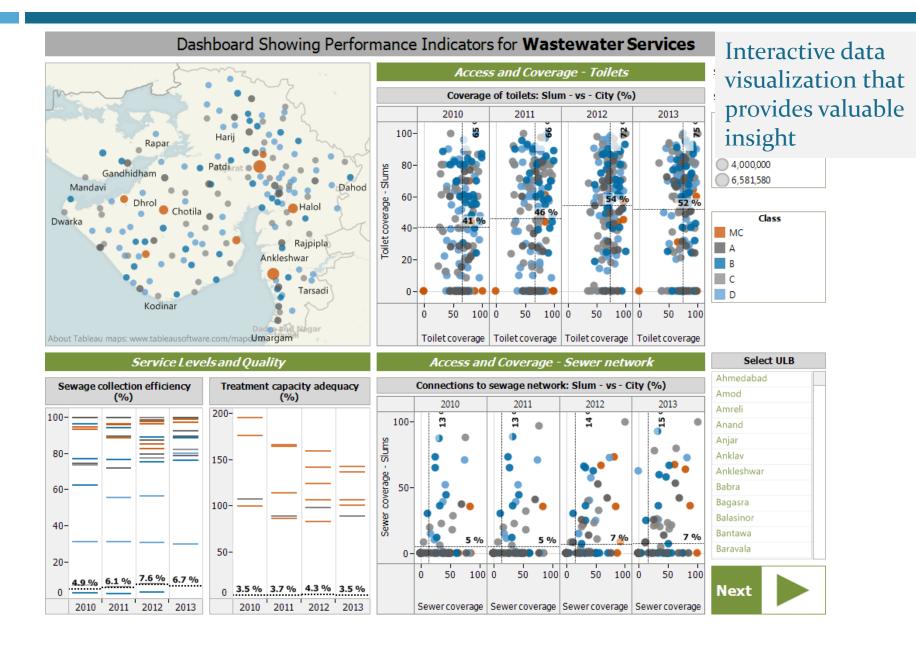


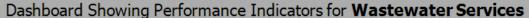
City Profile gives results based on SLB indicators for each sector

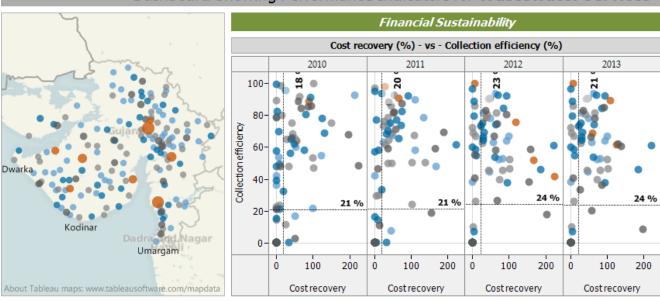
City Profile



Compare Your City helps each city compare itself with another city based on its respective class or state.

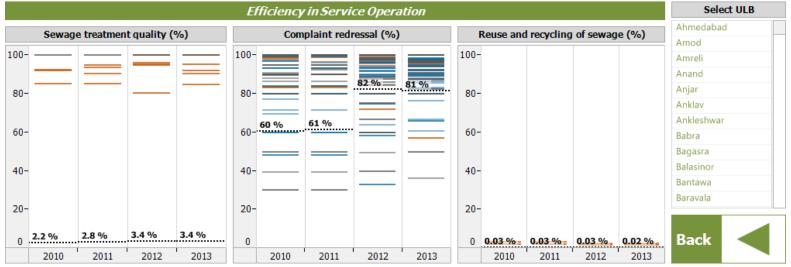


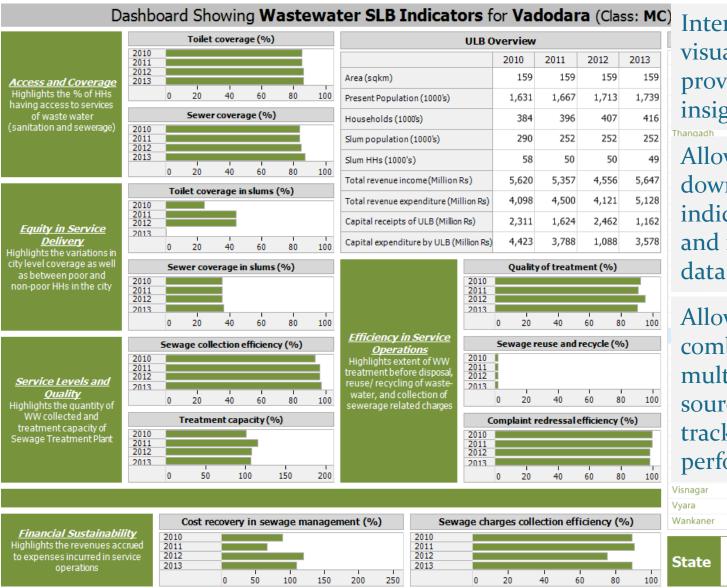




Interactive data visualization that provides valuable insight

Allows drilling down from indicators, charts and maps to read data better





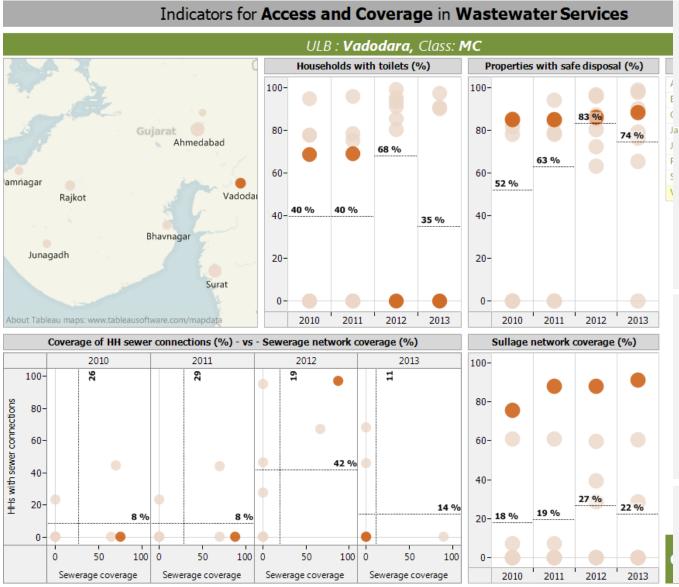
Interactive data visualization that provides valuable insight

Allows drilling down from indicators, charts and maps to read data better

Allows combination of multiple data sources to keep track of performance







Interactive data visualization that provides valuable insight

Allows drilling down from indicators, charts and maps to read data better

Allows combination of multiple data sources to keep track of performance

Indicates points of action or decision required

Thank You