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## SANITATION PLANNING SUPPORT TO GOVERNMENT

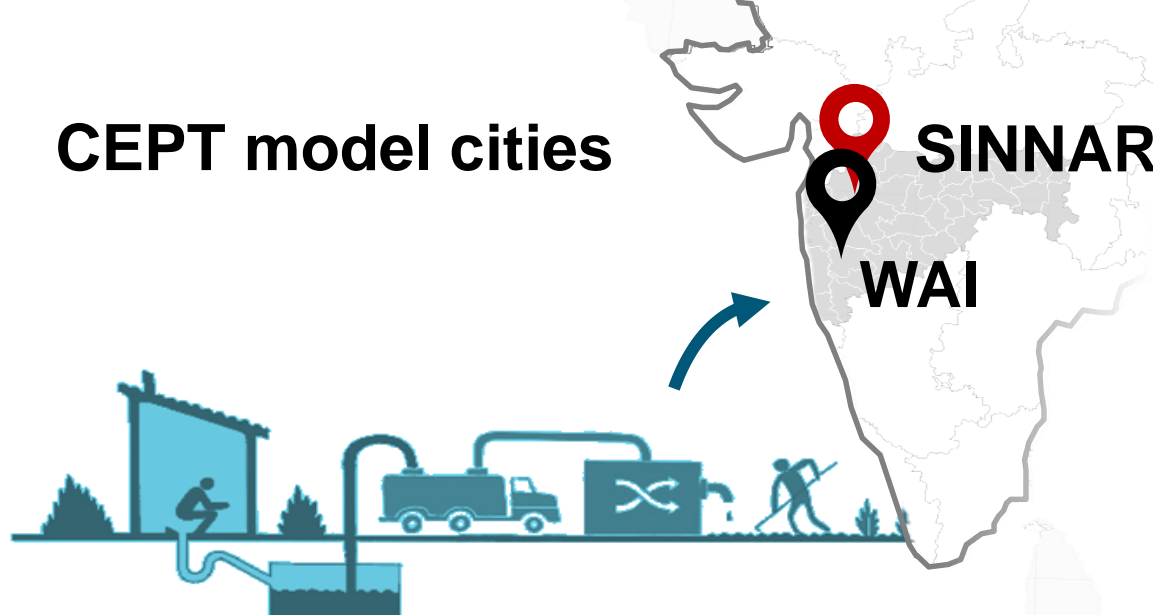
**1 Action-research**

C-WAS at CEPT University is established to focus on improving water and sanitation services in India.




**2 City support**

Technical support for sustainable sanitation solution in small and medium towns in Maharashtra, India since 2013



**3 State support for Swachh Bharat Mission**

Partner to State Government of Maharashtra for implementation of Swachh Bharat Mission (Clean India Mission) in Maharashtra



Lessons from city level actions to State and National level

## SANITATION SITUATION IN WAI AND SINNAR

Access to types of sanitation facility (No. of HH)

Sanitation Type	Wai	Sinnar
Open defecation	2%	13%
Community toilets	30%	24%
Individual toilets	68%	63%

Wai and Sinnar are two small towns in the state of Maharashtra, India, with 7,580 and 13,112 households respectively

**Sanitation challenges in Wai and Sinnar**

Access	Collection	Conveyance	Treatment	Disposal/Reuse
Open Defecation and High dependence on community toilets	High emptying cost Not enough awareness about regular emptying	Septage Irregular emptying by emptier truck Effluent water flowing through open/closed drains.	No treatment facility	Dumped at solid waste site Into river or natural drain

### Need for identifying innovative financing mechanisms

- Lack of ULB capacity; Technical and financial capacity
- To reduce financial and technical burden on ULB by..
  - Involving private operators in faecal sludge septage management service provision
  - Leveraging private financing options for public services
- To improve financial viability of these services, while ensuring citywide coverage and affordable prices for services
- To enhance the quality of investments in the implementation of the sanitation programmes led by the urban local body,

## INNOVATIVE FINANCING OPTIONS

### 1 Toilet incentive grant and sanitation loans for construction of household toilets

Citizens were not having toilets because of lack of space and fund availability.

Funding issues were addressed through subsidy and space issue were addressed through group toilets

#### 1. Announcement of 'Own Toilet/ Group Toilet Scheme' in Wai and Sinnar

WAI	SINNAR	Through toilet subsidy offered by city + through Swachh Bharat Mission
subsidy of : Rs10,000	subsidy of : Rs5,000 -10,000	<p>Swachh Bharat Mission Subsidy : INR 4,000 +</p> <p>Swachh Maharashtra Abhiyan Subsidy : INR 8,000 =</p> <p>Total = INR 22,000</p>


2. To make citizens aware about the scheme and also to meet the financial demand - city officials with CEPT's support have conducted various awareness generation activities through stakeholder consultation and community participation and also have guided citizens to take sanitation loans- Continuous process

**Awareness generation activities**

- Day and night announcement in rickshaws
- Community level and Ward level meetings
- Movies and Jingles
- SHG meeting
- Toilet and lender fair



**4 To achieve universal access to individual toilets households of Wai and Sinnar are guided to take "sanitation loans" along with commercial banks and self-help groups**



**Result:** Wai and Sinnar became Open Defecation Free (ODF) with >80% HHs having individual toilets. Recently Wai and Sinnar has become ODF++

### 3 Mobilizing Corporate Social Responsibility (CSR) funds

HSBC is contributing via CSR funds to help Sinnar to sustain the ODF status and to move toward ODF++

Results Based Grant Model	Scale of Measurement	Timeframe
Operational Faecal sludge treatment plant(FSTP)	Sinnar: FSTP Landscaping	OD Spot and SWM dumpsite development

**Ongoing Projects**

- Urban forest
- PPP for public toilet
- River development
- Grey water management

### 2 Performance linked annuity model (PLAM) for engaging private operators in scheduled desludging services

**1 Lack of ULB capacity to implement scheduled desludging**

**2 Private sector involvement**

- Economics of Scale encourages private operator to get involved
- Hired private operator through transparent bidding process wherein Private operator need to bring in trucks and manpower

**3 Finance through Sanitation Tax**

- Tax collected as part of their property tax through a sanitation tax paid annually.
- Households paying small sanitation tax to finance operations instead of high emptying charges

**4 Risk coverage through Escrow Mechanism**

- Local government is supposed to keep 3 month payment in the account to mitigate private operator's payment risk.

**5 Risk coverage through performance linked Payment**

- Payment on the bases of bills produced for septic tanks emptied by private operators per month.

**Desludging service once in 3 years**

All properties in city → Sanitation / property tax → Bank Escrow account → Local government → Monthly payment as per performance based contract → Desludging company → Deliver sludge to treatment facility

#### Operational success in Wai – Sinnar

- 800+ scheduled emptying
- Rate of 56 septic tanks per month as compared to last year's 7-8 per month
- 88% acceptance rate from HHs for scheduled service
- Eliminated manual scavenging

### 4 Crowd funding for upgrading school toilets

To provide access to toilets to students of Sinnar, CEPT with Milaap platform decided to crowd fund the toilet.

Project Conceptualizer

- Assessment of school toilets and identifying infrastructure gaps and investment requirement

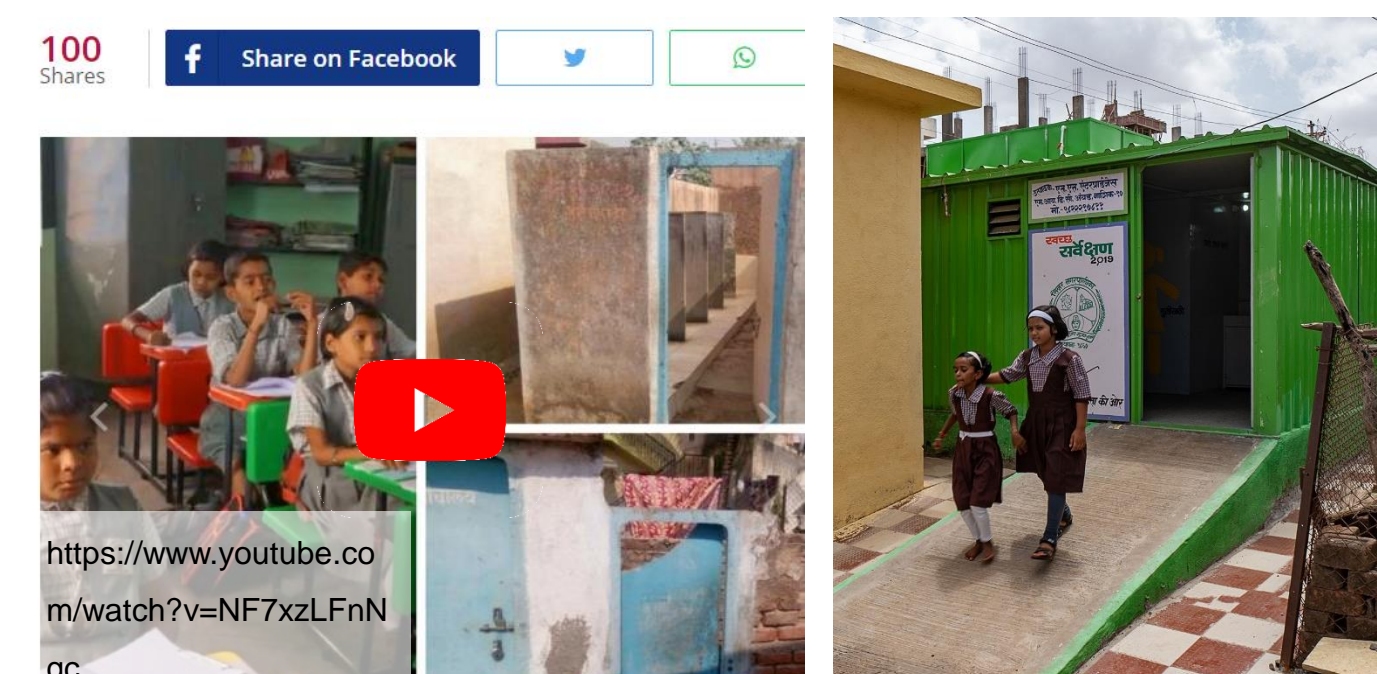
Crowd funding platform : Milaap:

- Bring together the project conceptualizer and the crowd

**Raised 4.28 lakhs from 70 donors in just two months**

Formed a committee for efficient use of funds

Support Toilets for children in Zapwadi School



**Result:** Sinnar Council decided to take up the responsibility for O&M of the toilet facilities of all the public schools using child and women welfare funds.

### 5 Other financing mechanisms

- State resolution- local bodies should use 50% 14<sup>th</sup> Finance Commission grants for sanitation-Sinnar has built FSTP using it
- Swachhata Kosh-part of Swachh Bharat Mission- Sinnar has formed a committee

## Way forward

Emerging experience of innovative financing in Wai and Sinnar can be scaled up to other 4000 small and medium cities (~40% of India's population), of India and emerging economies like India.

CWAS-CEPT University partnered with Wai Municipal Council and Sinnar Municipal Council to implement innovative financing mechanisms for citywide faecal sludge and septage management services with the support of government of Maharashtra.